

Acknowledgment Receipt:

SUBMISSION TYPE: BIO Sequence Filing

APPLICATION NUMBER: 10006922

FIRST NAMED INVENTOR: Sergey Lukyanov

TITLE OF INVENTION: Novel Chromophores/Fluorophores and Methods for
Using the Same

ATTORNEY DOCKET NUMBER: clon035cip



**COPY OF PAPERS
ORIGINALLY FILED**

FILE LISTING:

transmittal	tranclon35cip.xml 6514 Bytes
sequence-listing	SEQUENCELISTING.txt 57423 Bytes
biotechnology-listing-filing	clon35cipbio.xml 979 Bytes
biotechnology-listing-filing	u-bio.dtd 3619 Bytes
biotechnology-listing-filing	e-bioseq.xsl 6067 Bytes

EFS ID: 13996

FILE SIZE: 25365 Bytes

TIMESTAMP: Mon Feb 25 14:12:50 EST 2002

MESSAGE DIGEST: 75Hwt+KICzQquvUm6VxaFg==

DIGITAL CERTIFICATE HOLDER NAME: cn=Bret E. Field, ou=Registered Attorneys

UPLOAD STATUS: You have successfully uploaded your submission to USPTO



UNITED STATES PATENT AND TRADEMARK OFFICE



COPY OF PAPERS
ORIGINALLY FILED

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/006,922	12/04/2001	Sergey A. Lukyanov	CLON035CIP

CONFIRMATION NO. 9351

24353

BOZICEVIC, FIELD & FRANCIS LLP
200 MIDDLEFIELD RD
SUITE 200
MENLO PARK, CA 94025

FORMALITIES LETTER



OC000000007446598

Date Mailed: 02/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/13/2002 SZEWDIE1 00000060 500815 10006922

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

01 FC:105	130.00 CH
02 FC:101	740.00 CH
03 FC:102	420.00 CH
04 FC:103	126.00 CH

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$4120.
 - \$3420 for 190 total claims over 20.
 - \$420 for 5 independent claims over 3 .
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4990.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant

desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Please type a plus sign (+) inside this box



COPY OF PAPERS
ORIGINALLY FILED

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/006,922
		Filing Date	December 4, 2001
		First Named Inventor	LUKYANOV, SERGEY ANATOLIEVICH
		Group Art Unit	1645
		Examiner Name	N/A
Total Number of Pages in This Submission	13	Attorney Docket Number	CLON-035CIP

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration; Acknowledgment Receipt of Sequence Listing Filing; Postcard
<input checked="" type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Remarks
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	BRET E. FIELD, Reg. No. 37,620
Signature	
Date	February 25, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 25, 2002.

Typed or printed name	Donna Macedo	Date	February 25, 2002
Signature			

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

COPY OF PAPERS
ORIGINALS HELD

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

FEE TRANSMITTAL for FY 2002 <i>Patent fees are subject to annual revision.</i>		Complete if Known																																																																																																																																																																																																																																																				
		Application Number		10/006,922																																																																																																																																																																																																																																																		
		Filing Date		December 4, 2001																																																																																																																																																																																																																																																		
		First Named Inventor		LUKYANOV, SERGEY ANATOLIEVICH																																																																																																																																																																																																																																																		
		Examiner Name		Unassigned																																																																																																																																																																																																																																																		
Group Art Unit		1645																																																																																																																																																																																																																																																				
TOTAL AMOUNT OF PAYMENT		(\$) 1,416.00																																																																																																																																																																																																																																																				
METHOD OF PAYMENT		FEES CALCULATION (continued)																																																																																																																																																																																																																																																				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit overpayments to: Deposit Account Number 50-0815 Deposit Account Name Bozicevic, Field & Francis LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Fee Code</th> <th style="text-align: left;">Large Entity Fee (\$)</th> <th style="text-align: left;">Small Entity Fee (\$)</th> <th colspan="3">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td colspan="3">Surcharge – late filing fee or oath</td> <td style="text-align: right;">130.00</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td colspan="3">Surcharge – late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td colspan="3">Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td colspan="3">For filing a request for <i>ex parte</i> reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td colspan="3">Requesting publication of SIR prior to Examination action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td colspan="3">Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td colspan="3">Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200</td> <td colspan="3">Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460</td> <td colspan="3">Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720</td> <td colspan="3">Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,960</td> <td>228</td> <td>980</td> <td colspan="3">Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>160</td> <td colspan="3">Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td colspan="3">Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td colspan="3">Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td colspan="3">Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td colspan="3">Petition to revive – unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,280</td> <td>241</td> <td>640</td> <td colspan="3">Petition to revive – unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,280</td> <td>242</td> <td>640</td> <td colspan="3">Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>460</td> <td>243</td> <td>230</td> <td colspan="3">Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>620</td> <td>244</td> <td>310</td> <td colspan="3">Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td colspan="3">Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td colspan="3">Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180</td> <td colspan="3">Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td colspan="3">Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146</td> <td>740</td> <td>246</td> <td>370</td> <td colspan="3">For each additional invention to be examined (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>740</td> <td>249</td> <td>370</td> <td colspan="3">For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>179</td> <td>740</td> <td>279</td> <td>370</td> <td colspan="3">Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>169</td> <td>900</td> <td>169</td> <td>900</td> <td colspan="3">Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="7">Other fee (specify) _____</td> <td></td> </tr> </tbody> </table>						Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description			Fee Paid	105	130	205	65	Surcharge – late filing fee or oath			130.00	127	50	227	25	Surcharge – late provisional filing fee or cover sheet				139	130	139	130	Non-English specification				147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination				112	920*	112	920*	Requesting publication of SIR prior to Examination action				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action				115	110	215	55	Extension for reply within first month				116	400	216	200	Extension for reply within second month				117	920	217	460	Extension for reply within third month				118	1,440	218	720	Extension for reply within fourth month				128	1,960	228	980	Extension for reply within fifth month				119	320	219	160	Notice of Appeal				120	320	220	160	Filing a brief in support of an appeal				121	280	221	140	Request for oral hearing				138	1,510	138	1,510	Petition to institute a public use proceeding				140	110	240	55	Petition to revive – unavoidable				141	1,280	241	640	Petition to revive – unintentional				142	1,280	242	640	Utility issue fee (or reissue)				143	460	243	230	Design issue fee				144	620	244	310	Plant issue fee				122	130	122	130	Petitions to the Commissioner				123	50	123	50	Processing fee under 37 CFR 1.17(q)				126	180	126	180	Submission of Information Disclosure Stmt				581	40	581	40	Recording each patent assignment per property (times number of properties)				146	740	246	370	For each additional invention to be examined (37 CFR § 1.129(a))				149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))				179	740	279	370	Request for Continued Examination (RCE)				169	900	169	900	Request for expedited examination of a design application				Other fee (specify) _____							
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description			Fee Paid																																																																																																																																																																																																																																																
105	130	205	65	Surcharge – late filing fee or oath			130.00																																																																																																																																																																																																																																															
127	50	227	25	Surcharge – late provisional filing fee or cover sheet																																																																																																																																																																																																																																																		
139	130	139	130	Non-English specification																																																																																																																																																																																																																																																		
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																																																																																		
112	920*	112	920*	Requesting publication of SIR prior to Examination action																																																																																																																																																																																																																																																		
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																		
115	110	215	55	Extension for reply within first month																																																																																																																																																																																																																																																		
116	400	216	200	Extension for reply within second month																																																																																																																																																																																																																																																		
117	920	217	460	Extension for reply within third month																																																																																																																																																																																																																																																		
118	1,440	218	720	Extension for reply within fourth month																																																																																																																																																																																																																																																		
128	1,960	228	980	Extension for reply within fifth month																																																																																																																																																																																																																																																		
119	320	219	160	Notice of Appeal																																																																																																																																																																																																																																																		
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																																																																																																		
121	280	221	140	Request for oral hearing																																																																																																																																																																																																																																																		
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																		
140	110	240	55	Petition to revive – unavoidable																																																																																																																																																																																																																																																		
141	1,280	241	640	Petition to revive – unintentional																																																																																																																																																																																																																																																		
142	1,280	242	640	Utility issue fee (or reissue)																																																																																																																																																																																																																																																		
143	460	243	230	Design issue fee																																																																																																																																																																																																																																																		
144	620	244	310	Plant issue fee																																																																																																																																																																																																																																																		
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																																		
123	50	123	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																																																																																		
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																		
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																		
146	740	246	370	For each additional invention to be examined (37 CFR § 1.129(a))																																																																																																																																																																																																																																																		
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																																																		
179	740	279	370	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																		
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																																																																																		
Other fee (specify) _____																																																																																																																																																																																																																																																						
**or number previously paid, if greater; For Reissues, see above.		SUBTOTAL (3) (\$) 130.00																																																																																																																																																																																																																																																				
*Reduced by Basic Filing Fee Paid																																																																																																																																																																																																																																																						

SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	Bret E. Field		Registration No. (Attorney/Agent)	37,620	Telephone	(650) 327-3400
Signature				Date	02/25/2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.